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CONFIRMATION NO. 5769

SERIAL NUMBER	FILING or 371(c) DATE RULE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
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**** CONTINUING DATA ***************************

**** FOREIGN APPLICATIONS ***************************

**** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ****
01/14/2004

Foreign Priority claimed	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
☐ Yes ☒ No 35 USC 119(a-d) conditions met ☐ Yes ☒ No Verified and Acknowledged Examiner's Signature	☐ Met after Allowance Initials	NY	18	21	3

ADDRESS

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TITLE

System and method for managing credit risk for investment portfolios

FILING FEE RECEIVED	FEES: Authority has been given in Paper	☐ All Fees
788	No._____ to charge/credit DEPOSIT ACCOUNT No._____ for following:	☐ 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of time) ☐ 1.18 Fees (Issue) ☐ Other _____ ☐ Credit